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## PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 03-1075)

| In the Applica | ation of:  | )           |                |
|----------------|--|-------------|----------------|
|                | Schluetter   | )           | Art Unit: 2173 |
| Serial No.     | 10/729,123   | )           |                |
| Filed:         | December 5, 2003   | )           | Examiner: TBD  |
| Title:         | Method and System for Displaying<br>A Cursor on a Trading Screen | )<br>)<br>) |                |

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Examiner:

Pursuant to 37 C.F.R. Section 1.97-1.98, and in accordance with the duty of candor set forth in 37 C.F.R. §1.56, applicants wish to make the following references of record in the above-identified application. Copies of the references cited below are enclosed along with a copy of completed PTO Form-1449.

#### I. US PATENT DOCUMENTS

|    | Patent Number | <u>Inventor(s)</u> | Issue Date |
|----|---------------|--------------------|------------|
| 1. | 5,598,183     | Robertson et al.   | 1/28/1997  |
| 2. | 5,801,698     | Lection et al.     | 9/1/1998   |
| 3. | 6,865,719     | Nicholas, III      | 3/8/2005   |

#### II. US PATENT APPLICATION PUBLICATION DOCUMENTS

|    | Patent Publication Number | Inventor(s)          | Publication Date |
|----|---------------------------|----------------------|------------------|
| 1. | 2002/0057285              | Nicholas III         | 5/16/2002        |
| 2. | 2003/0115117              | Sugimoto             | 6/19/2003        |
| 3. | 2004/0218472              | Narayanaswami et al. | 11/4/2004        |
| 4. | 2005/0034063              | Baker et al.         | 2/10/2005        |
| 5. | 2005/0091262              | Cao                  | 4/28/2005        |

#### III. FOREIGN PATENT DOCUMENTS

|    | Patent Number | Inventor(s)  | Publication Date |
|----|---------------|--------------|------------------|
| 1. | JP2002373042  | Osamu et al. | 12/26/2002       |

#### **DISCUSSION**

Applicants submit that these documents, whether taken alone or in combination with others previously of record, fails to show or suggest the claimed subject matter. Applicants request that the Examiner consider the entirety of the documents and make them of record in this application. Applicants' submission of these documents for consideration is not to be construed as an admission that the documents qualify as prior art to the claimed subject matter, a representation that a search has been made, nor as an admission that the information is considered to be material to patentability.

McDonnell Boehnen Hulbert & Berghoff LLP

Date: July 22, 2005

Joseph A. Herndon Reg. No. 50,469

| FORM PTO-1449<br>(Rev. 2-32) |   |
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## U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

| Atty. Docket No. | Serial No. |
|------------------|------------|
| 03-1075          | 10/729,123 |
|                  |            |
|                  |            |

Applicant:

Schluetter

Filing Date:

Group:

12/5/2003

2173

#### **U.S. PATENT DOCUMENTS**

| Examiner<br>Initial | Document Number | Date      | Name             | Name Class Subclass |     | Filing<br>Date if<br>Appropriate |
|---------------------|-----------------|-----------|------------------|---------------------|-----|----------------------------------|
| •                   | 5,598,183       | 1/28/1997 | Robertson et al. | 345                 | 145 | 12/12/1995                       |
| 4                   | 5,801,698       | 9/1/1998  | Lection et al.   | 345                 | 347 | 5/19/1997                        |
|                     | 6,865,719       | 3/8/2005  | Nicholas, III    | 715                 | 856 | 8/4/2000                         |

#### **U.S. PATENT PUBLICATION DOCUMENTS**

| Examiner<br>Initial | Document Number | Publicatio<br>n<br>Date | Name                | Class | Subclass | Filing<br>Date if<br>Appropriate |
|---------------------|-----------------|-------------------------|---------------------|-------|----------|----------------------------------|
|                     | 2002/0057285    | 5/16/2002               | Nicholas III        | 345   | 700      | 12/20/2001                       |
|                     | 2003/0115117    | 6/19/2003               | Sugimoto            | 705   | 27       | 12/10/2002                       |
|                     | 2004/0218472    | 11/4/2004               | Narayanaswami et al | 368   | 10       | 4/29/2003                        |
|                     | 2005/0034063    | 2/10/2005               | Baker et al.        | 715   | 513      | 8/6/2004                         |
|                     | 2005/0091262    | 4/28/2005               | Cao                 | 707   | 102      | 11/12/2004                       |

#### FOREIGN PATENT DOCUMENTS

| Document Number | Date       | Country | Class | Subclass | Translation |    |
|-----------------|------------|---------|-------|----------|-------------|----|
|                 |            |         |       |          | Yes         | No |
| JP2002373042    | 12/26/2002 | Japan   | G06F3 | 00       |             | Х  |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
|          |                 |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.